
FRACTIONAL QUANTUM HALL HIERARCHY

John J. Quinn

University of Tennessee, Knoxville, TN, USA

Motivation

i) Many Body Theory of Solids

1950s-

H_0 SINGLE PARTICLE ENERGIES

H_I MANY BODY PERTURBATION THEORY

Landau Theory, Gell Mann – Bruckner, Hubbard

Electron Self Energy: $\Sigma = \Sigma_1 + i\Sigma_2$

QPs: $\mathcal{E}_{QP} = \mathcal{E}_0 + \Sigma, \quad V_{QP}(\vec{p} - \vec{p}')$

ii) Strongly Interacting Systems

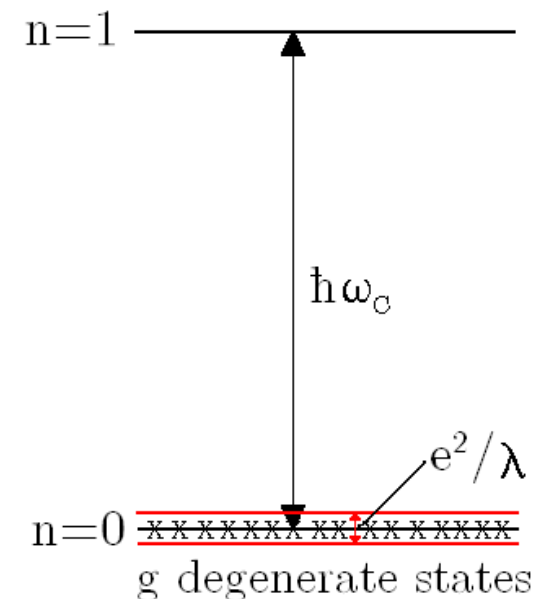
H_I too strong to treat as perturbation

FQH Effect – a PARADIGM

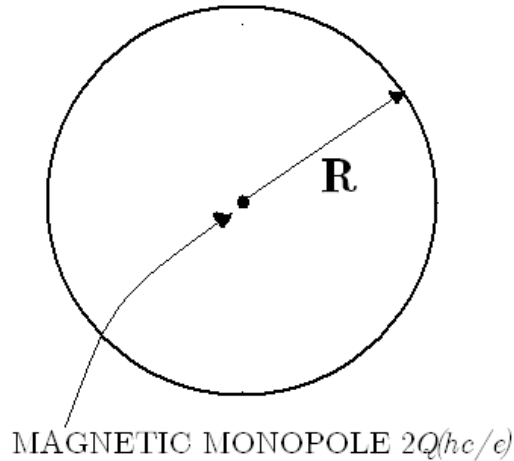
N electrons in $g = 2\ell + 1$ degenerate states

$\hbar\omega_C \gg e^2/\lambda$

No kinetic energy, $H_I = V_{ee}$ only energy scale



Haldane spherical geometry



$$4\pi R^2 B = 2Q(hc/e)$$

$$R^2 = Q\lambda^2$$

$$\lambda^2 = \hbar c / (eB)$$

SINGLE PARTICLE STATES (Monopole Harmonics)

$$|Q, \ell, m\rangle \text{ eigenstates of } \begin{cases} \hat{\ell}^2 & E_{Q\ell m} = \frac{\hbar\omega_C}{2Q} [\ell(\ell+1) - Q^2] \\ \hat{\ell}_z & E \geq 0 \Rightarrow \ell_n = Q + n, \quad n=0,1,2,\dots \\ \hat{H}_0 & g_n = 2\ell_n + 1 \text{ shells} \end{cases}$$

Lowest LL $\ell_0 = Q$

Filling factor LLL $\nu = \frac{N}{g_0} \Rightarrow N(\#\text{flux quanta through sample})^{-1}$

Numerical Studies

N –Fermion States

$$|m_1, m_2 \dots m_N\rangle = c_N^\dagger c_{N-1}^\dagger \dots c_1^\dagger |0\rangle, \quad m_i \in g_0$$

$$H_I = \sum_{\langle i,j \rangle} \frac{e^2}{r_{ij}}$$

Diagonalize H_I

$$\langle m'_1 m'_1 \dots m'_N | H_I | m_1 m_1 \dots m_N \rangle \quad \text{vanishes unless}$$

$$\text{i) } M = \sum_i m_i = \sum_i m'_i$$

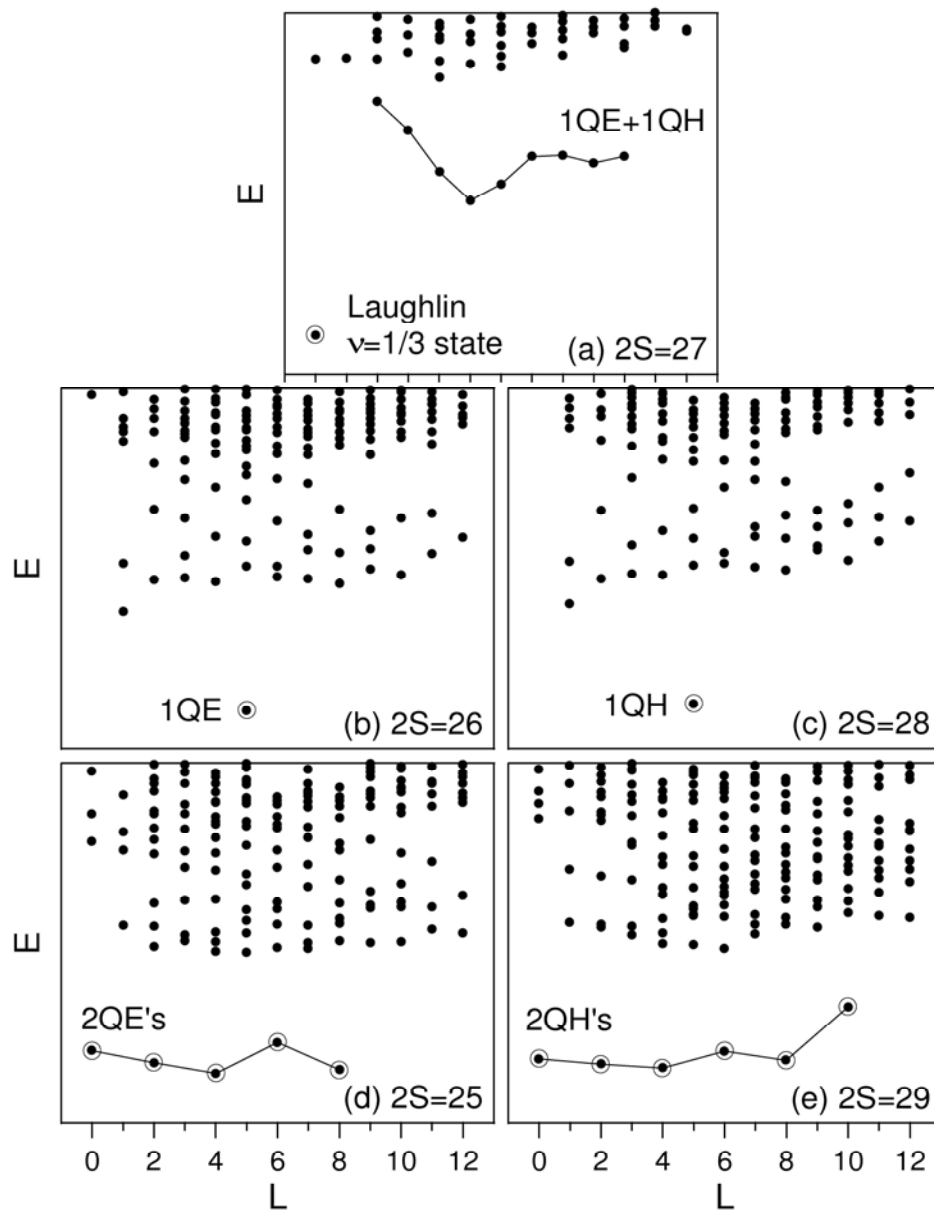
ii) $|m_1 m_2 \dots m_N\rangle$ and $|m'_1 m'_1 \dots m'_N\rangle$ differ by no more than two members

Wigner – Eckart theorem

$$\langle L' M' \alpha' | H_I | L M \alpha \rangle = \delta_{LL'} \delta_{MM'} \langle L \alpha' | H_I | L \alpha \rangle$$

(independent of M)

Numerical Studies



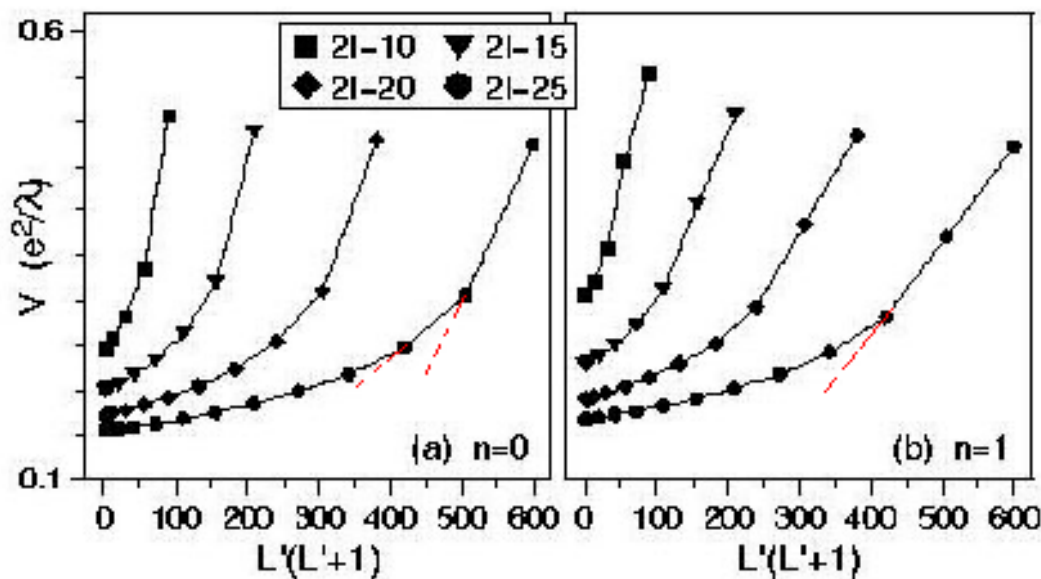
The energy spectra of 10 electrons in the lowest Landau level calculated on a Haldane sphere with $2Q$ between 25 and 29. The open circles and solid lines mark the lowest-energy bands with the fewest composite fermion quasiparticles.

Pseudopotentials

$$E_\alpha(L) = \frac{1}{2} N(N-1) \sum_{L_{12}} P_{L\alpha}(L_{12}) V(L_{12})$$

$$L_{12} = 2\ell - R, \quad R = 1, 3, 5 \dots$$

PSEUDOPOTENTIAL $V(L_{12}) = \left\langle \Psi_{L_{12}}(r_{12}) \left| \frac{e^2}{r_{12}} \right| \Psi_{L_{12}}(r_{12}) \right\rangle$



Pseudopotential $V(L')$ of the Coulomb interaction in the lowest (a) and the first-excited Landau level (b) as a function of squared pair angular momentum $L'(L'+1)$. Squares ($l=5$), triangles ($l=15/2$), diamonds ($l=10$), and circles ($l=25/2$) indicate data for different values of $Q=l$.

Fractional Parentage

$$|\ell^N, L\alpha\rangle = \sum_{L_{12}, L'\alpha'} G_{L\alpha, L'\alpha'}(L_{12}) |\ell^2, L_{12}; \ell^{N-2}, L'\alpha'; L\rangle \quad (\text{A})$$

$$P_{L\alpha}(L_{12}) = \sum_{L'\alpha'} |G_{L\alpha, L'\alpha'}(L_{12})|^2$$

USEFUL IDENTITY

$$\begin{aligned} \text{If } \hat{L} &= \sum_i \hat{\ell}_i, & \hat{L}_{ij} &= \hat{\ell}_i + \hat{\ell}_j \\ \hat{L}^2 + N(N-2)\hat{\ell}^2 - \sum_{\langle ij \rangle} \hat{L}_{ij}^2 &= 0 \end{aligned} \quad (\text{B})$$

Take expectation values of (B) using wavefunction (A)

THEOREMS

- $\sum_{L'} P_{L\alpha}(L') = 1$
- $\frac{1}{2}N(N-1) \sum_{L'} P_{L\alpha}(L') L'(L'+1) = L(L+1) + N(N-2)\ell(\ell+1)$

Harmonic Pseudopotentials

$$\text{For } V(\hat{L}') = V_H \quad V_H(\hat{L}') = A + B\hat{L}'^2$$
$$E_\alpha = N \left[\frac{1}{2}(N-1)A + B(N-2)\ell(\ell+1) \right] + BL(L+1)$$

- Note:**
- i) $E_\alpha(L)$ is independent of α for V_H
 - ii) $E(L)$ increases as $L(L+1)$

V_H DOES NOT REMOVE THE DEGENERACY OF DIFFERENT MULTIPLETS WITH THE SAME L .

CORRELATIONS: ONLY $\Delta V(L')$ CAUSES CORREALTIONS

$$\Delta V = V(L') - V_H(L') \quad \underline{\text{ANHARMONIC PART OF } V(L')}$$

Relative pair angular momentum $R = 2\ell - L' = 1, 3, 5, \dots$

We often write $V(R)$ which stands for $V(2\ell - R)$

Simplest Anharmonic Pseudopotential

$$\Delta V(R) = k\delta(R,1)$$

- i) $k > 0 \Rightarrow$ Lowest multiplet for each L has $P_{L\alpha}(R=1)$ a minimum.
- ii) $k < 0 \Rightarrow$ Lowest multiplet for each L has $P_{L\alpha}(R=1)$ a maximum.

Case i) is exactly what is meant by “Laughlin correlations”

It is why Laughlin wave function is the exact solution to a very short range potential

Case ii) electrons have tendency to form pairs instead of Laughlin correlations

Jain's Composite Fermion Picture

- i) **Make Chern-Simons transformation by adding to each electron a flux tube giving a CS magnetic field**

$$b(\vec{r}) = -\gamma\phi_0 \sum_i \delta(\vec{r} - \vec{r}_i) \quad \phi_0 = \frac{hc}{e}, \quad \gamma \text{ is a constant}$$

This CS magnetic field, added via a gauge transformation, has no effect on classical equation of motion.

- ii) **Apply mean field approximation to electron charge and flux, and set $\gamma=2p_0$ to form composite Fermions. This gives an effective CF filling**

$$\frac{1}{\nu_0^*} = \frac{1}{\nu_0} - 2p_0$$

If $\nu_0^* = n_0$, an integer \Rightarrow

Jain sequence of filled CF levels

$$\nu = \begin{cases} \frac{1}{3}, \frac{2}{5}, \frac{3}{7}, \dots \\ 1, \frac{2}{3}, \frac{2}{5}, \dots \end{cases}$$

Effective monopole strength

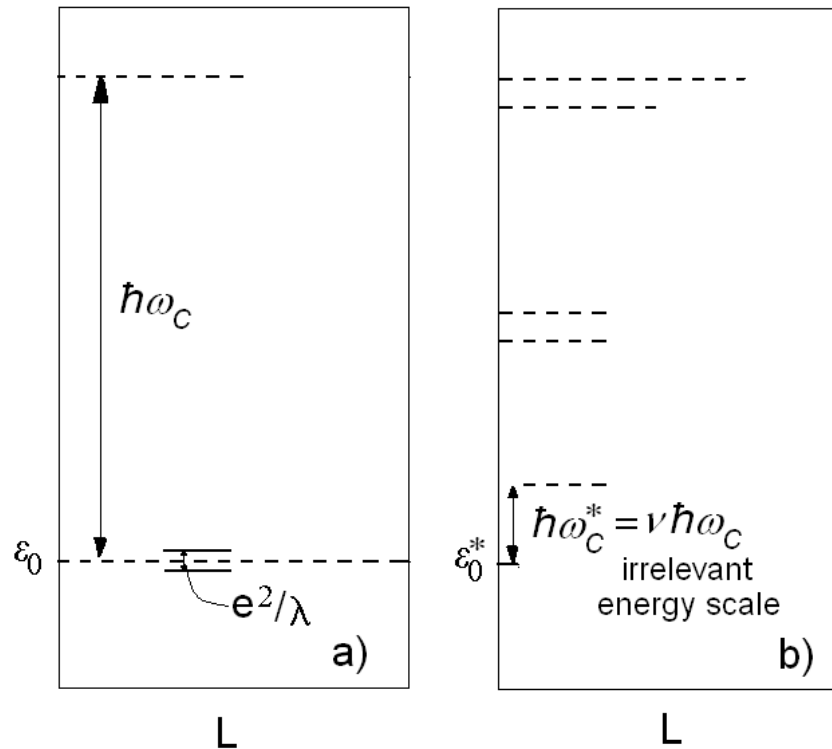
$$2Q^* = 2Q - 2p_0(N - 1)$$

Q^* is the angular momentum of CF shell

The effective CF monopole strength $2Q^*$, the number of CF quasiparticles (quasiholes n_{QH} and quasielectrons n_{QE}), the quasiparticle angular momentum l_{QH} and l_{QE} , and the angular momenta L of the lowest lying band of multiplets for ten-electron system at $2Q$ between 29 and 21.

$2Q$	29	28	27	26	25	24	23	22	21
$2Q^*$	11	10	9	8	7	6	5	4	3
n_{QH}	2	1	0	0	0	0	0	0	0
n_{QE}	0	0	0	1	2	3	4	5	6
l_{QH}	5.5	5	4.5	4	3.5	3	2.5	2	1.5
l_{QE}	6.5	6	5.5	5	4.5	4	3.5	3	2.5
L	0,2,4,6,8,10	5	0	5	0,2,4,6,8	1, 3 ² ,4,5,6,7,9	0,2 ² ,4 ² ,5,6,8	1,3,5	0

Why Does Composite Fermion Picture Work?



b) must reduce to a) when $e^2 / (\lambda \hbar \omega_C) \rightarrow 0$

Scale $\hbar \omega_C^*$ is irrelevant for $\hbar \omega_C \gg e^2 / \lambda$

CS gauge interaction $\propto \hbar \omega_C^* \propto B$

CANCELATION IMPOSSIBLE !

Coulomb interaction $\propto e^2 / \lambda \propto \sqrt{B}$

Adiabatic Addition of CS Flux

Relative coordinate WF of pair (on a plane):

$$\Psi_{nm}(r, \phi) = e^{im\phi} u_{nm}(r); \quad r = |r_1 - r_2|, \phi = \phi_1 - \phi_2$$

1. Add $-\gamma$ CS flux quanta via gauge transformation:

$$\Psi_{nm} \rightarrow e^{i(m-\gamma)\phi} u_{nm}(r)$$

Changes only phase of WF. If γ is not an even integer it changes statistics.

2. Add $-\gamma$ CS flux adiabatically:

$$\Psi_{nm} \rightarrow e^{im\phi} u_{n,m+\gamma}(r)$$

No phase change, radial function goes from u_{nm} to $u_{n,m+\gamma}$.

For $\gamma = 2 \Rightarrow$ Orbit has changed to new “Laughlin correlated” pair orbit

Laughlin correlations without need of M.F. approx and irrelevant energy scale.

No change in \mathcal{E}_{nm} without H_I .

Laughlin WF for pair:

$$\Psi(\vec{r}_1, \vec{r}_2) = \Psi_{0m}^{REL}(\vec{r}_{12}) \Psi_{00}^{CM}(\vec{R}_{12}) \rightarrow (z_1 - z_2)^{|m|} \exp\left(-\frac{r_1^2 + r_2^2}{4\lambda^2}\right)$$

Composite Fermion Hierarchy

$$\nu_0^{-1} = 2p_0 + \nu_0^{*-1}$$

$\nu_0^* = n_0$ gives JAIN SEQUENCE

i) Suppose $\nu_0^* \neq \text{integer}$

Write $\nu_0^* = n_0 + \nu_1$

$\nu_1 =$ QP filling of CF shell

ii) Assume (like Haldane) QPs are L.C. $\frac{1}{\nu_1} = 2p + \frac{1}{\nu_1^*}$

$\nu_1^* = n_1$ gives DAUGHTER IQL STATE

iii) In general

$$\frac{1}{\nu_j} = 2p_j + \frac{1}{n_j + \nu_{j+1}} \quad \text{Series terminates as soon as: } \nu_{j+1} = 0$$

CF hierarchy gives:

- 1) Jain sequence of CF integrally filled levels when n_0 is integer
- 2) Haldane hierarchy of all odd denominator fraction (in terms of CF QPs) when QPs are Laughlin correlated at each level.

CF Hierarchy Doesn't Agree With “Numerical Experiment”

$$N = 8, \quad \ell_0 = 18$$

$$2\ell_1 = 2\ell_0 - 2(N - 1) = 4$$

$$\ell_1 = 2 \quad \text{holds 5 CFs} \quad \text{leaving } N_{\text{QE}}=3 \text{ in shell} \quad \ell_{\text{QE}} = 3$$

$$3 \text{ QEs with } \ell_{\text{QE}} = 3 \quad \text{gives a low lying band} \quad L = 0 \oplus 2 \oplus 3 \oplus 4 \oplus 6$$

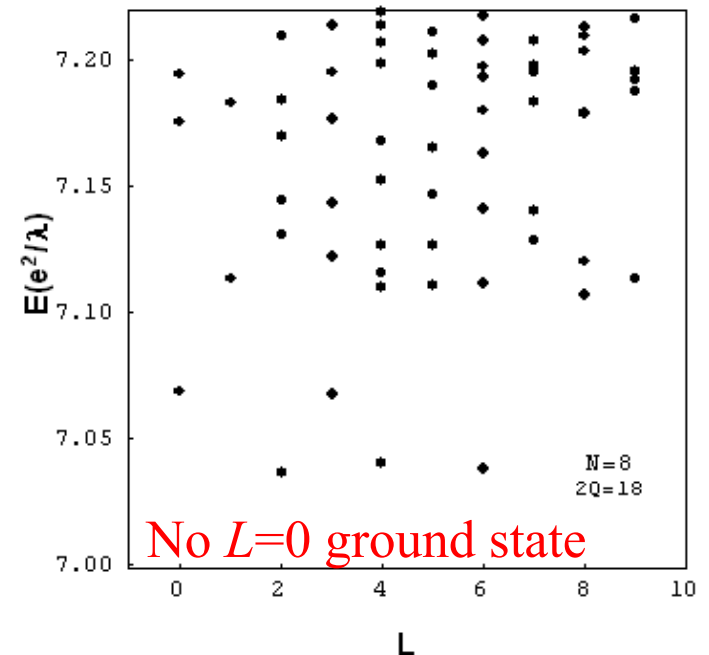
A new CF transformation will lead to 3 CFQE in $\ell_{\text{QE}}^* = 1$

This gives a daughter state $L = 0$

$$\frac{1}{\nu_1} = 2p_1 + \frac{1}{n_2 + \nu_2} = 2 + 1 = 3$$

$$\frac{1}{\nu_0} = 2p_0 + \frac{1}{n_1 + \nu_1} = 2 + \frac{1}{(1 + 1/3)} = \frac{11}{4}$$

$$\therefore \nu_0 = \frac{4}{11}$$

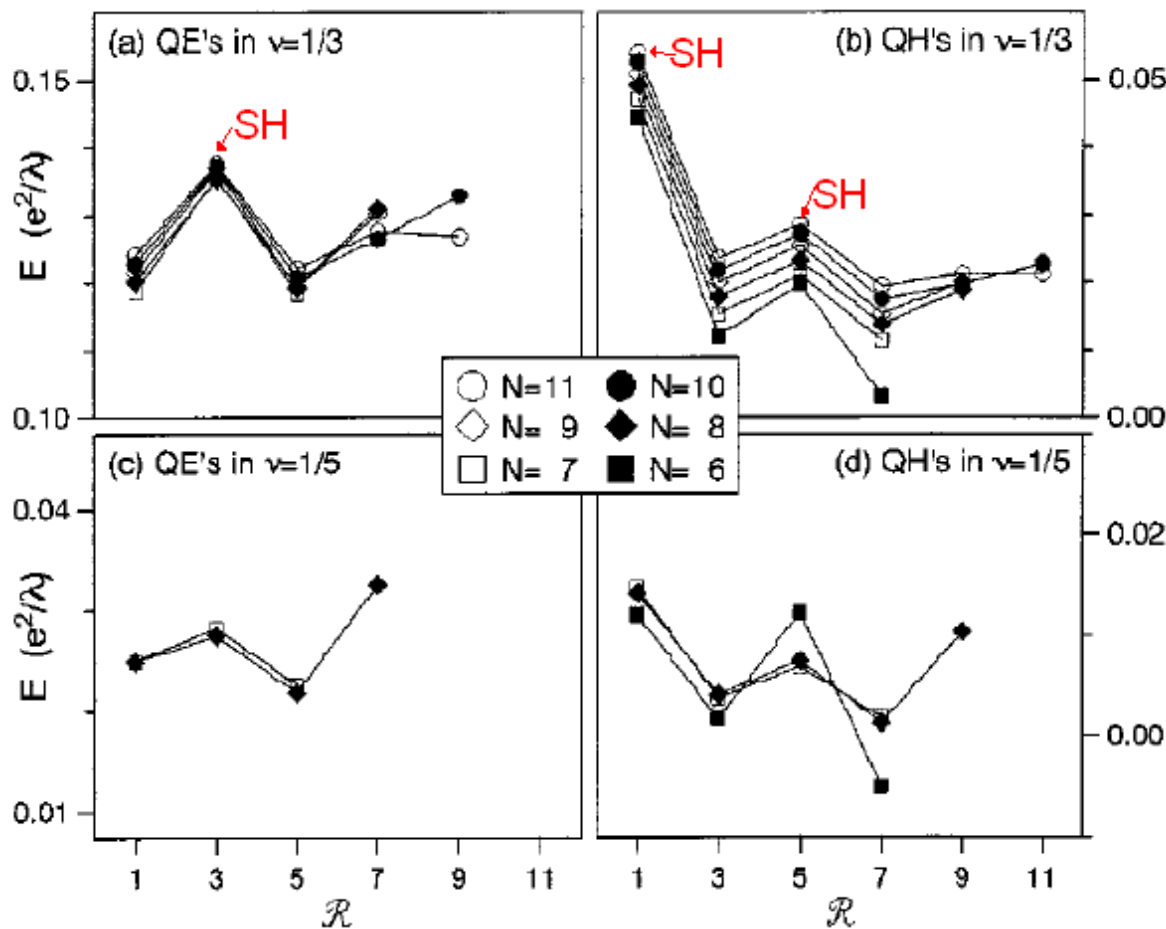


Why Does CF Hierarchy Fail?

It assumes Laughlin correlations of QPs, but $V_{QE}(R)$ is not “superharmonic” at $R=1$ and $V_{QH}(R)$ is not “superharmonic” at $R=3$.

No $\nu_{QE} = 1/3$ state of spin polarized system

No $\nu_{QH} = 1/5$ state of spin polarized system



The pseudopotentials of pairs of quasielectrons (left) and quasiholes (right) in Laughlin $\nu = 1/3$ (top) $\nu = 1/5$ and (bottom), as a function of pair relative angular momentum R .

Pairing and larger clusters

Because $V_{QE}(R=1)$ won't support LCs but will tend to have pairs formed, let's

- i) assume N electrons form $N_p=N/2$ pairs
- ii) $\ell_p = 2\ell - 1$

We treat pairs as Fermions. We prevent violation of Pauli principle by restricting pair angular momentum of N_p pairs to values $< 2\ell_{FP}$ where

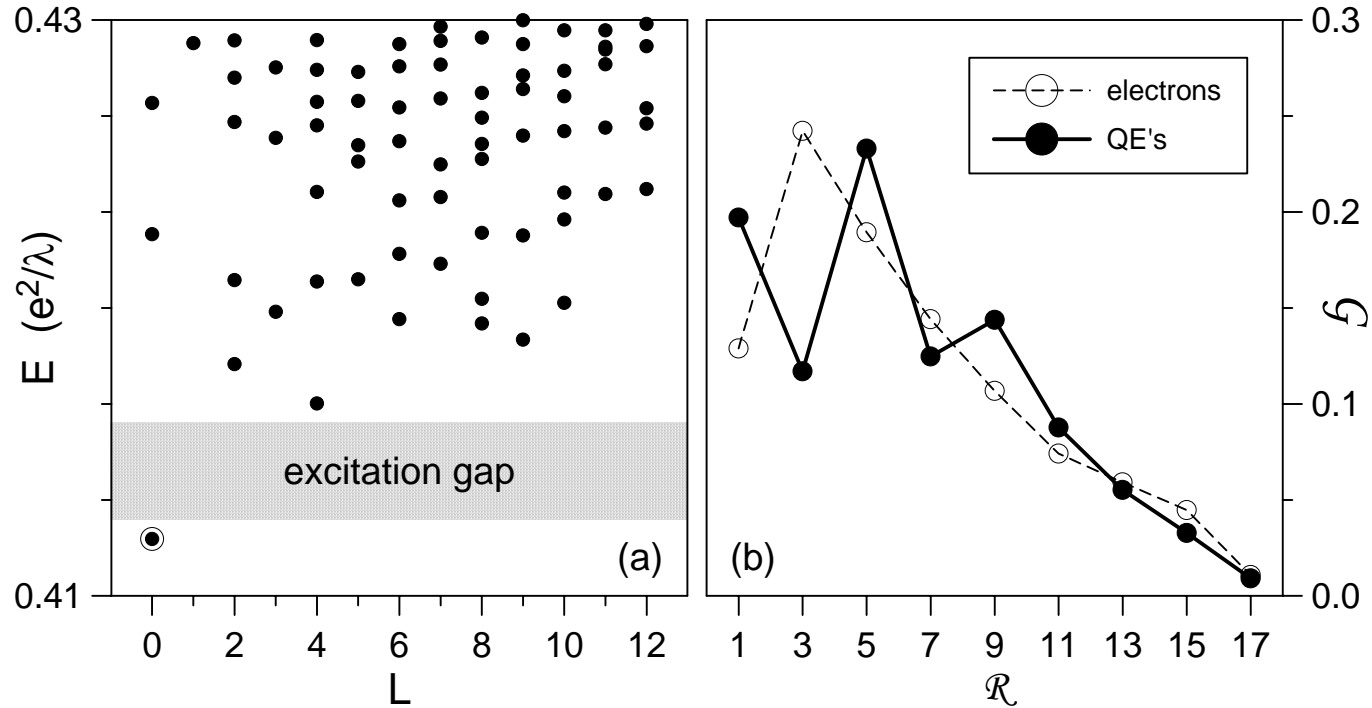
$$2\ell_{FP} = 2\ell_p - 3(N_p - 1) \quad (\text{CS transformation})$$

Last term is chosen so that $\nu_{FP} = 1$ when $\nu = 1$. This gives $\frac{1}{\nu_{FP}} = \frac{4}{\nu_{QE}} - 3$

The 4 comes from $\ell_p = 2\ell - 1$ and $N_p = N/2$. This leads to IQL states of pairs at:

ν_{FP}	1/3	1/5	1/7	1/9
ν	2/3	1/2	2/5	1/3
ν_{QE}	5/13	3/8	7/19	4/11
ν	2/7	1/4	2/9	1/5
ν_{QH}	5/17	3/10	7/23	4/13

Quasielectron energy spectra



$$N_{QE} = 10$$

$$2\ell_{QE} = 17$$

$$\nu_{QE} = \frac{1}{2}$$

$$\nu^{-1} = 2 + \frac{1}{1 + \frac{1}{2}} = \frac{8}{3}$$

Unsolved questions

1. $\nu_{\text{QE}} = 1/3$ occurs at $2\ell = 3N - 7$ not at $2\ell = 3N - 5$ (expected for pairs) nor $2\ell = 3N - 3$ (for LC electrons).

2. $\nu_{\text{QE}} = 1/2$ occurs at $2\ell = 2N - 3$ or its conjugate $2\ell = 2N + 1$ but only when smaller of N_{QE} or N_{QH} is a multiple of 4.

We don't understand why. We clearly are missing something. But we are certain that there is no 2nd generation of CFs at $\nu_{\text{QE}} = 1/3$ or $\nu_{\text{QH}} = 1/5$ for a totally spin polarized system.